



## Equity Compensation Risk Analysis Data Inputs

To create an analysis of your company stock and option holdings using StockOpter.com the following information is needed (*This information will be kept confidential*).

### 1. Basic Information:

Name: \_\_\_\_\_ Company: \_\_\_\_\_  
 Ticker: \_\_\_\_\_ Current Stock Price if Private: \_\_\_\_\_

### 2. Grant Information:

Please attach a current grant summary statement **with vesting schedule** for your company stock compensation (see sample)

#### XYZ Company Grant Summary

Employee Name	Grant ID*	Grant Date	Expire Date	Grant Type	Strike Price	Shares		Vesting Date	Shares Vesting	Vesting Date	Shares Vesting
						Currently Vested					
Bill Smith	I2001	2/1/2001	2/1/2010	ISO	10.1234	10,000					
Bill Smith	I2003	2/1/2003	2/1/2012	ISO	20.0567	15,000					
Bill Smith	N2005	2/1/2005	2/1/2014	NQ	25.5000	15,000					
Bill Smith	N2007	2/1/2007	2/1/2016	NQ	19.4500	10,000	2/1/2010	5,000	2/1/2011		5,000
Bill Smith	R2009	2/1/2009		RSA	0.0000		2/1/2011	5,000			

### 3. Personal Assumptions:

Please provide the following information (use approximate values if necessary)

Enter the estimated before-tax value of your other investments (Do not include the value of your company stock or options or the value of your personal residence)	
Enter the total number of company shares you own outright. This can include exercised and held options, vested restricted shares, shares bought on the open market and shares held in your 401k. This should <b>not</b> include your options or outstanding restricted shares.	
Enter the estimated total cost basis of your owned shares. This is the total purchase price of these shares, not the average per share price.	

### 4. Questions:

If you have any questions about the StockOpter analysis or the required information, please contact me at: